

2008000206060012
EXAMINATION FEBRUARY-MARCH 2024
BACHELOR OF COMMERCE (HONORS) (SIXTH SEMESTER)
FINANCIAL MARKETING OPERATIONS - IV – LEVEL 6

[Time: As Per Schedule]

[Max. Marks: 50]

Instructions:

1. Fill up strictly the following details on your answer book

- a. Name of the Examination: **BACHELOR OF COMMERCE (HONORS) (SIXTH SEMESTER)**
 - b. Name of the Subject: **FINANCIAL MARKETING OPERATIONS - IV – LEVEL 6**
 - c. Subject Code No: **2008000206060012**
2. Sketch neat and labelled diagram wherever necessary.
 3. Figures to the right indicate full marks of the question.
 4. All questions are compulsory.

Seat No:

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Student's Signature

Q.1 Answer in short: -

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- 1) Explain NRI Investment.
- 2) List out services of Merchant bankers.
- 3) When was OTCEI set up?
- 4) State the objectives and features of NSE.
- 5) Who are portfolio consultants?

Q.2

- a) Explain OTCEI. State its features and method of trading.
- b) Write a detailed note on the objectives and functions of SEBI.

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Q.3

- a) Explain the working of mutual funds. State its classification in detail.
- b) What do you understand by fundamental and technical analysis?

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Q.4 Write Short notes on: - (any two)

14

1. Functions of grievance cell.
2. Credit Rating agencies.
3. Market makers.
4. Types of underwriters.
